



1714\$
PATENT

Customer No. 22,852

Attorney Docket No. 08513.7030-00000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of:

Ho et al.

Application No.: 09/743,621

Filed: April 9, 2001

For: OPTICAL DEVICES

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) Group Art Unit: 1714
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) Examiner: Paul R. Michl
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Commissioner for Patents and Trademarks
Washington, DC 20231

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TRANSMITTAL LETTER

Enclosed are the following:

- ☒ Supplemental Information Disclosure Statement
- ☒ Form 1449 with Cited References

Enclosed is a check in the amount of \$180.00 for submission of the prior art references. Please grant any extensions of time required to enter this response and charge any additional required fees to our deposit account 06-0916.

Dated: June 5, 2002

By: Therese A. Hendricks
Therese A. Hendricks
Reg. No. 30,389



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E.H.J.
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

UNDER 37 C.F.R. § 1.97(c)

This is further to the Information Disclosure Statements filed May 14, and May 20, 2002 in the above case. Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), applicant brings to the attention of the examiner the documents listed on the attached PTO 1449. These documents are cited in the enclosed International Search Report of corresponding case PCT/GB99/02271 and the Great Britain Search Report of priority case GB 9815270.5. The other references are from copending case Serial No. 09/743,692 filed January 12, 2001 entitled OPTICAL DEVICES (copy enclosed).

This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R.

§ 1.97(c), this Information Disclosure Statement is accompanied by a fee of \$180.00 as specified by Section 1.17(p).

Copies of the listed documents, including any copending patent applications, are attached.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.


Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
, GARRETT & DUNNER, L.L.P.

Dated: June 5, 2002

By: 
Therese A. Hendricks
Reg. No. 30,389